# Introduction to your application for End of Series Derogation (as detailed in 2018/858, Chapter X)

Use this form to apply for End of Series Derogation on passenger and goods vehicles (M, N and O categories), against one of the EU Regulations listed from Page 3 onwards.

Please note that every legislation section that applies to the vehicles on the VIN list must be selected. If a vehicle is not compliant with all of the standards in force at the point of registration then all derogated subjects must be quoted and approved by VCA. If a vehicle is already on a derogation list pertaining to another regulation, you will need to apply separately for the additional derogation as only one subject is selected and justified per application. Any derogation approval letter issued by VCA will list the subjects that it covers and only those are valid for Registration purposes.

Please note that if there is more than one derogation approval in place for a vehicle the earliest date of expiry will apply to the vehicle.

To apply for End of Series Derogation:

1. Complete all sections of this form in full. Failure to complete the form in full will lead to delays in processing.
2. Complete a Vehicle Details List with details of all the vehicles you wish to include in your application (see Part 4).
3. Email both files to law@vca.gov.uk.
4. The application form may need to be accompanied by an Annex II justification document which can be found on our website. Once we receive your application, we will send you an acknowledgement email with your application’s reference number. It can take up to 30 working days from the date of acknowledgement to process your application, provided that no further information is required from you.

Further details about applying for End of Series Derogation can be found in our website: <http://www.dft.gov.uk/vca/legislation/end-of-series-derogation-applications.asp>.

# Who can apply for End of Series

The type approval holder for the vehicles involved can apply for End of Series.

# Type approval authority you are applying to by completing and submitting this form

Vehicle Certification Agency

1 Eastgate Office Centre

Eastgate Road

Bristol BS5 6XX

**The VCA is the UK Type Approval Authority. End of Series granted by VCA allows applicants to register vehicles in UK territory only.**

# Part 1: Select the legislation you are applying for derogation against

|  |  |  |  |
| --- | --- | --- | --- |
| **X****(Select)** | **Legislation** | **Summary** | **Derogation Valid Until**  |
|  | General Safety Regulation EU 661/2009 (as amended by regulation 2019/543 (Article 1)), Steering effort R79.02  | **Description:** Mandatory compliance with UN regulation 79 for categories M, N & O **Regarding all R79.00 and certain R79.01 approvals according to the Transitional Provisions of R79.03****Into force:** 01/04/2021**Eligible vehicles:** Manufactured before: 01/01/2021**Further information:** Annex II justification document required | 31/03/2022 (S)30/09/2022 (M) |
|  | Regulation (EU) 540/2014 as defined in 2018/858 relating to AVAS (UN R 138.00)  | **Description:** Mandatory compliance with AVAS (Acoustic Vehicle Alerting System) requirements. Applies to all hybrid electric and pure electric vehicles for categories M & N **Into force:** 01.07.2021**Eligible vehicles:** Manufactured before: 01/04/2021**Further information:** Annex II justification document required | 30/06/2022 (S)31/12/2022 (M) |
|  | General Safety Regulation EU 661/2009 (as amended by regulation 2019/543 (Article 1)) UN R16.07 sup 2 | **Description:** Mandatory compliance with UN regulation 16 for categories M & N **Regarding certain 16.06 approvals according to the transitional provisions of R16.07****Into force:** 01.09.2021**Eligible vehicles:** Manufactured before: 01/06/2021**Further information:** Annex II justification document required | 31/08/2022 (S)28/02/2023 (M) |
|  | General Safety Regulation EU 661/2009 (as amended by regulation 2019/543 (Article 1)) Steering effort UN R79.03 | **Description:** Mandatory compliance with UN regulation 79 for categories M, N & O **Regarding all R79.02 approvals issued on or after 01/09/2019 and certain R79.01 approvals****Into force:** 01/09/2021**Eligible vehicles:** Manufactured before: 01.06.2021**Further information:** Annex II justification document required | 31/08/2022 (S)28/02/2023 (M) |
|  | General Safety Regulation EU 661/2009 (as amended by regulation 2019/543 (Article 1)) Rear Protective Devices UN R58.03 | **Description:** Mandatory compliance for Rear underrun protective devices (RUPD’S) - UN Regulation 58.03 for Category M, N & O**Into force: 01.09.2021****Eligible vehicles:** Manufactured before: 01.06.2021**Further information:** Annex II justification document required | 31/08/2022 (S)28/02/2023 (M) |
|  | Regulation (EU) 2018/1832 amending Regulation (EU) 2017/1151 (Article 15(9)) | **Description:** Mandatory compliance with requirements regarding devices for monitoring the consumption (OBFCM) of fuel and/or electric energy for categories N1 class II (emissions character AN) and N1 class III, N2 (emissions character AO).**Into force: 01.01.2022****Eligible vehicles:** Manufactured before: 01/10/2021**Further information:** Annex II **emissions** justification document required | 31/12/2022 (S)30/06/2023 (M) |
|  | Regulation (EU) 2018/1832 amending Regulation (EU) 2017/1151 (Article 15(9)) in accordance with the limits set out in Annex IIIA (2.1.1) | **Description:** Mandatory compliance with Real Driving Emissions (RDE) NOx Step-2 and OBFCM for vehicles of categories N1 class II (emissions character CH) and N1 class III, N2 (emissions character CI) **Into force: 01.01.2022****Eligible vehicles:** Manufactured before: 01/10/2021 and restricted **only to multi-stage manufacturers** with **derogation volumes limited to 2000 vehicles per manufacturer.****Further information:** Annex II **emissions** justification document required | **Multi-stage only**30/06/2023 (M) |
|  | Regulation (EU) 540/2014 Sound level of vehicles of M & N category vehicles UN R51.03 (Phase 2)  | **Description:** Mandatory compliance for Sound of M & N categories of vehicles – Phase 2 limits of Regulation (EU) No 540/2014 or UN Regulation 51.03 for Category M & N**Into force:** 01.07.2022**Eligible vehicles:** Manufactured before: 01.04.2022**Further information:** Annex II justification document required | 30/06/2023 (S)31/12/2023 (M) |

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|  | General Safety Regulation 2019/2144  | **Description:** Mandatory compliance **in Northern Ireland only** for:

|  |  |  |
| --- | --- | --- |
| UN R10-05 | UN R11-04 | UN R45-01 |
| UN R67-03 | UN R80-04 | UN R94-03 |
| UN R121-01 | UN R127-02 | UN R130-00 |
| UN R131-01 | UN R134-00 | UN R139-00 |
| UN R141-01 | UN R142-00 | UN R145-00 |
| 70/222/CEE repeal | 77/389/CEE\*96/64/CE repeal | 78/317 repeal |
| 78/318\*94/68 repeal | 92/21\*95/48 repeal | 97/27\*2003/19 repeal |
| Please confirm which subject you would like to derogate |

**Into force:** 06.07.2022**Eligible Vehicles:** Manufactured before: 06.04.2022**Further information:** Justification required as detailed in part 5 within this form (Annex II justification **not** required) | 05/07/2023 (S)05/01/2024 (M) |
|  | General Safety Regulation 2019/2144 as amended by 2022/1398 (UN Regulation 17.09) | **Description:** Mandatory compliance in **Northern Ireland only** for UN Regulation 17.09 for Categories M & N regarding certain 17.08 approvals**Into force:** 01.09.2022**Eligible Vehicles:** Manufactured before: 01.06.2022**Further information:** Justification required as detailed in part 5 within this form (Annex II justification **not** required) | 31/08/2023 (S)29/02/2024 (M) |

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|  | **Key** | (S)= Single-Stage Vehicle. This refers to complete vehicles. (M)= Multi-Stage Vehicle. This refers to completed vehicles.  |

# Part 2: Vehicle manufacturer details

Only one make can be applied for per application.

|  |  |
| --- | --- |
| Make (trade name of manufacturer) |  |
| Vehicle category (M, N, O) |  |
| Name and address of manufacturer  |  |

# Part 3: Number of vehicles involved

|  |  |
| --- | --- |
| Provide the number of vehicles you are applying for |  |

# Part 4: Vehicle details

Please download and complete the [Vehicle Details Template from the website](http://www.dft.gov.uk/vca/legislation/end-of-series-derogation-applications.asp) to supply your vehicle details. You may include Single and Multi-Stage vehicles in the same file. Once completed, email the vehicle details list together with your application to law@vca.gov.uk.

# Part 5: State the economic and/or technical reasons for making this application

Manufacturers are required to include in their application justifiable technical and economic reasons for not being able to comply with the implementation deadlines of the new requirements. If there is not a clear justification then a derogation cannot be granted.

For all subjects applicable in Great Britain, a separate Annex II justification matrix must be completed which can be found on our website <https://www.vehicle-certification-agency.gov.uk/legislation/end-of-series-derogation-applications.asp>.

For all subjects listed in part 1 in which a derogation is only required in Northern Ireland, you must state the Technical or Economic reasons below instead of a separate Annex II justification matrix:

Please state the economic and/or technical reasons for not being able to comply with the implementation deadlines of the new requirements here

# Part 6: Declaration and applicant signature

**Declaration:** I, the undersigned, declare that:

□The information provided in this form, the Vehicle List spreadsheet and justification in Part 5 or Annex II is accurate

□The vehicles included in the application are intended to be placed on the market in the United Kingdom

□The vehicles included in the application are physically located in the EU or UK at the time of application

□The vehicles included in the application have been manufactured at least three months before the legislation comes into force

□I am applying on behalf of the type approval holder

□The justification Annex II is attached (if applicable)

|  |  |
| --- | --- |
| Signed on behalf of |  |
| Applicant’s name |  | Date |  |
| Signature (Please insert scanned signature file or digital signature below) |
| Scanned signature |  | Digital signature |  |

# Your contact details:

|  |  |  |  |
| --- | --- | --- | --- |
| Your name |  | Address |  |
| Phone number |  | Email  |  |

**PRIVACY INFORMATION**The personal information you have provided in this form has been provided to allow VCA to process your application. Details of how this data will be handled, stored and used can be found in our “[Privacy Notice](http://www.vehicle-certification-agency.gov.uk/privacy-and-information-charter.asp)” (please follow the link). If you are not completing this form electronically and would like us to send you a hard copy of the Notice, then please contact the Data Protection Manager, VCA, 1 The Eastgate Office Centre, Eastgate Road, Bristol, BS5 6XX and we will be pleased to send you a copy.

# Offences and penalties

Under UK Law – the following offences and penalties apply

Law: SI 2020 No 818 Regulation 29(1) and Schedule 4 Part 1, paragraph 1(5), (as amended)

**Offences:**

(5) A person commits an offence if that person—

(a) Contravenes any other prohibition in these Regulations, the Type Approval Regulation or the legislation listed in Schedule 5, or

(b) Fails to comply with any requirement or obligation in these Regulations, the Type Approval Regulation or the legislation listed in Schedule 5.

Part 2, paragraph 3(1) - Penalties

**Criminal penalties**

**3.**—(1) A person who commits an offence under these Regulations is punishable on summary conviction—

(a) In England and Wales by a fine or (in the case of an individual) by imprisonment for a term not exceeding three months, or by both, or

(b) In Scotland or Northern Ireland by a fine not exceeding level 5 on the standard scale or (in the case of an individual) by imprisonment for a term not exceeding three months, or by both.